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4 Clinical Presentation of Invasive Pneumococcal Disease in Spain in the Era of Heptavalent
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6 Conjugate Vaccine
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24 **Abbreviated Title:** Invasive Pneumococcal Disease in the Era of PCV7
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27 **Running Head Title:** Invasive Pneumococcal Disease
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57 **Key words:** *Streptococcus pneumoniae*, pneumococcal conjugate vaccine, pneumonia, serotype,
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4 **Background** The aim of this study was to analyze the rate of incidence, clinical presentation,
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6 serotype and clonal distribution of invasive pneumococcal disease (IPD) in the era of heptavalent
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8 pneumococcal conjugate vaccine (PCV7) in Barcelona, Spain.
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11 **Methods** This was a prospective study comprising all children <5 years with IPD who were
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13 managed in two tertiary-care pediatric hospitals between January 2007 and December 2009. IPD
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15 was defined as the presence of clinical findings of infection together with isolation or detection
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17 of DNA of *S. pneumoniae* in a sterile fluid sample.
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21 **Results** 319 patients (53.3% male), mean age 29.6 months, were included. Comparing rates in
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23 2007 and 2009 (76.2 and 109.9 episodes/100,000 population, respectively) an increase of 44%
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25 (95% CI, 10%-89%) was observed.
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29 The main clinical presentation was pneumonia (254 episodes, 79.6%), followed by meningitis
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31 (29, 9.1%) and bacteremia (25, 7.8%). The diagnosis was made by positive culture in 123
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33 (38.6%) patients and in 196 (61.4%) by real-time PCR. Serotype study was done in 300 episodes
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35 and 273 (91%) were non-PCV7 serotypes. The most frequent serotypes were 1 (20.7%), 19A
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37 (15.7%) and 3 (12.3%). A minimal inhibitory concentration $\geq 0.12\mu\text{g/mL}$ to penicillin was
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39 detected in 34.4% of isolates. Sequence type 306 expressing serotype 1 was the most frequent
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41 clonal type detected (20.3% of studied strains).
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46 **Conclusions** IPD continues to increase in Barcelona and the rate is higher than previously
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48 reported as a result of low sensitivity of bacterial culture. Non-PCV7 serotypes were responsible
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50 for 91% of episodes and pneumonia was the main clinical presentation.
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INTRODUCTION

Streptococcus pneumoniae is a major cause of morbidity and mortality worldwide, especially among young children, despite the availability of antibiotic treatment and vaccines. The World Health Organization estimates that every year more than one million children under 5 years of age die of invasive pneumococcal disease (IPD), mainly in developing countries¹.

The imbalance between host factors and virulence of the pathogen is partly responsible for the production of IPD. The main virulence factor of pneumococcus is the polysaccharide capsule, with 93 serotypes with differing pathogenicity².

Following introduction of PCV7 in the USA there was a dramatic decline in IPD rates and drug-resistant pneumococci^{3,4}. However, in Spain and other countries we observed a significant increase in the rate of IPD caused by non-PCV7 serotypes and a slight reduction in the rate of IPD caused by PCV7 serotypes⁵. There was a change in the main serotypes associated with IPD and this change was associated with changes in clinical types of IPD⁶, a reduction in the rate of antibiotic-resistant strains causing IPD, and the emergence of previously established virulent clones of non-PCV7 serotypes⁵.

The introduction of real-time PCR-based methods that specifically identify capsular DNA in direct sample offer a sensitive, rapid and simple approach for the surveillance of IPD⁷. Different authors have reported that molecular methods can be used directly on sterile biological samples, improving the ability to diagnose IPD^{8,9,10, 11, 12}. At present, little is known about the epidemiologic characteristics, clinical presentation and outcome of IPD including episodes with negative bacterial culture. The purpose of this study was to determine the epidemiologic variables, clinical presentation, current trends and serotypes and clones of *S. pneumoniae* among

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4 children in Barcelona, Spain, after the implementation of PCV7, in 2001, including patients with
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6 negative culture that were diagnosed by real-time PCR.
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10 11 12 13 14 **MATERIALS AND METHODS**

15 16 Patients and definitions

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18 We performed a prospective study comprising all children <5 years with IPD managed in two
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20 tertiary-care pediatric hospitals in Barcelona (Spain) during a 3-year period (January 2007-
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22 December 2009). These two centers serve a pediatric referral population of 134,662 children < 5
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24 years (around 27% of the Catalan paediatric population <5 years)¹³.
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28 An episode of IPD was defined as the presence of clinical findings of infection together with
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30 isolation and/or DNA detection of *pneumolysin (ply)* gene and an additional capsular gene of *S.*
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32 *pneumoniae* by real-time PCR in any sterile body fluid such as blood, cerebrospinal fluid, pleural
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34 fluid or articular fluid.
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38 39 40 Data collected and analyzed

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42 Epidemiologic characteristics included age, gender, immunization status against *S. pneumoniae*
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44 (when written records were available), underlying medical condition, group child care
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46 attendance, antibiotic treatment and/or respiratory infection before the diagnosis of IPD, history
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48 of breastfeeding, household size and exposure to tobacco smoke.
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53 Clinical characteristics including clinical presentation, intensive care unit (ICU) admission,
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55 complications, antibiotic treatment and duration, days of hospitalization and clinical outcome
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57 were also recorded.
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4 Microbiologic bacterial culture and antimicrobial susceptibility studies
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6 All pneumococcal isolates were identified by standard microbiologic methods that remained
7 constant during the study period. Agar dilution technique was used to determine the minimal
8 inhibitory concentration (MIC) of several antibiotics, including penicillin and cefotaxime.
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10 American Type Culture Collection (ATCC) 49619 (serotype 19) was used as a control.
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12 Susceptibility to penicillin and other antibiotics was defined according to the 2008 meningial
13 break points by the Clinical Laboratory Standards Institute¹⁴. Isolates with intermediate or high
14 level resistance were defined as nonsusceptible.
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26 DNA detection of *S. pneumoniae* by Real-Time PCR
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28 Detection of *ply* gene of *S. pneumoniae* was performed by Real-Time PCR according to a
29 published assay⁷.
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36 Serotype identification
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38 Serotyping of strains isolated by culture was carried out by the Quellung reaction, using antisera
39 provided by the Statens Serum Institut (Copenhagen, Denmark), or by Dot-Blot serotyping¹⁵.
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41 MICs and serotyping of the strains were performed at the National Center for Microbiology
42 (Majadahonda, Spain). Detection of pneumococcal serotypes in negative culture clinical samples
43 but *ply* pneumococcal gene positive was performed according to a published Multiplex Real-
44 Time PCR methodology¹⁶. This procedure includes the DNA detection of conserved *wzg*
45 capsular gene of *S. pneumoniae* and other different genes selected to distinguish 24 serotypes (1,
46 3, 4, 5, 6A/C, 6B/D, 7F/A, 8, 9V/AN/L, 14, 15B/C, 18C/B, 19A, 19F/B/C, 23A, and 23F).
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4 Serotypes were classified into the following groups: PCV7 serotypes (4,6B, 9V,14,18C,19F and
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6 23F) PCV10 serotypes (PCV7 serotypes plus 1,5,7F) and PCV13 serotypes (PCV10 serotypes
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8 plus 19A,6A,3).
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10 11 12 13 14 Clonal study

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16 Clonal composition of strains was analyzed using multilocus sequence typing (MLST) as
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18 reported elsewhere¹⁷. The assignment of alleles and sequence types (ST) was carried out using
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20 the software at the pneumococcal web page www.mlst.net. Analysis of ST and assignment to
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22 clonal complex (CC) was performed with the eBURST program¹⁸. STs that shared six of seven
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24 alleles (single locus variants [SLV]) were considered a clonal complex.
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33 34 Statistical analysis

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36 Rates of IPD, defined as the number of episodes per 100,000 population, were calculated using
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38 the annual estimates of pediatric population obtained from the Department of Statistics in
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40 Catalonia¹³ and the percentage of capture of both hospitals among total hospitalization in
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42 children <5 years. In Catalonia county, these hospitals captured, during the study period, 25.4%
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44 of all pediatric hospitalizations <2 years and 32.2% of pediatric hospitalizations between 2-5
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46 years.
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50 We used the χ^2 test or Fisher's exact test to compare proportions, and Student *t*-test to compare
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52 means. Statistical analyses were performed using SPSS for Windows, version 17.0 (SPSS), and
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54 Epi Info, version 6.0 (Centers for Disease Control and Prevention). We calculated 95% CIs, and
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56 2-sided *P* values $\leq .05$ were considered to be statistically significant.
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RESULTS

During the study period, 319 episodes of IPD were identified in 319 patients, including 170 male patients (53.3%) and 149 female patients (46.7%), with a mean age of 29.6 months (SD 15.7).

One-hundred ninety-two episodes (60.2%) were in children 24-59 months, 99 (31.0%) in children 7-23 months, and 28 (8.8%) in children <6 months of age.

There was clearly seasonal variation. 73% of episodes were detected during cool months (October to March) versus 27.2% during warm months (April-September) $p < .001$.

Two-hundred forty-four (76.5%) patients reported group child care attendance, 144 (45.1%) patients had had a viral respiratory infection by history during the month before IPD, and 44 (13.8%) had received antibiotic treatment the month before IPD.

Two-hundred twenty-five (70.5%) patients reported a history of breastfeeding and 122 (38.2%) had been exposed to tobacco smoke. The mean household size was 4 cohabitants (SD 1.2, range 2-10).

According to the criteria of the American Academy of Pediatrics¹⁹, only 5 of 319 (1.5%) children were at high risk of IPD, including 2 children with malignant disease who were receiving immunosuppressive therapy, 1 with diabetes mellitus, 1 with congenital cyanotic cardiopathy and 1 with pulmonary emphysema.

Concerning immunization status for *S. pneumoniae*, 168 (52.8%) cases had received at least 1 dose of PCV7 although only 141 (44.3%) were considered fully vaccinated by age.

Incidence

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4 Rates of IPD increased between 2007 and 2009; the incidence of IPD in < 5 years in 2007 was
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6 76.2 cases/100,000 population, in 2008 82.2 cases/100,000 population and in 2009 109.9
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8 cases/100,000 population. Comparing rates in 2007 and 2009, there was an increase of 44%
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10 (95% CI, 10% - 89%; p=0.008). There was a significant increase in the rate of pneumonia during
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12 the study period: an increase of 81% (95% CI, 33% - 148%; p=0.001) comparing 2007 vs 2009.
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14 There was no significant changes in the rates of meningitis and bacteremia during the study (see
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16 table, Supplemental Digital Content 1, which shows rate of invasive pneumococcal disease (IPD)
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18 in children according to age group during 2007-2009).
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26 Clinical presentation

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28 Overall, the clinical diagnosis of patients included in this study was pneumonia 254 (79.6%)
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30 patients, meningitis 29 (9.1%), bacteremia 25 (7.8%), arthritis or osteomyelitis 6 (1.9%), sepsis 3
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32 (0.9%), and cellulitis 2 (0.6%). Among pneumonia cases, 51 (20.1%) were non-complicated
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34 pneumonia, 171 (67.3%) were empyema and 32 (12.6%) parapneumonic pleural effusion.
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36 Table 1 shows the distribution of positive samples detected by culture and by real-time PCR
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38 according to main clinical presentations.
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46 Children were admitted to the hospital for 310 (97.2%) of the 319 episodes. The mean length of
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48 stay was 10.8 days (SD7.5). The longest mean stay by clinical presentation was 18.25 days
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50 (SD13.19) for meningitis. Of note, patients with non-complicated pneumonia have no statically
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52 differences in the median age, gender, days of hospitalization and total days of antibiotic in the
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54 groups “positive blood culture” and “only plasma real-time PCR positive”.
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4 The mean of days of antibiotic therapy (including extra-hospital treatment) was 17.8 days (SD
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7 6.8). Arthritis and osteomyelitis were the diagnosis with the longest duration of antibiotic
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9 therapy (28.17 days, SD 11.78).

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11 Forty four children (13.8%) were admitted to the pediatric intensive care unit (PICU). Overall,
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13 27 of 29 episodes of meningitis (93.1%) were admitted to ICU, 14 of 254 (5.5%) episodes of
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15 pneumonia and 3 of 3 (100%) episodes of sepsis.
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19 Among children admitted to ICU, 22 (51.2%) had received at least 1 dose of PCV7, but only 19
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21 (44.2%) were fully vaccinated for age.
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24 Of the 319 patients, there were 4 (1.3%) deaths, 3 patients with meningitis and one with sepsis.
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27 Thirty-four patients (10.7%) had sequelae associated with *Streptococcus pneumoniae*: neurologic
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29 sequelae in 15 of 29 (51.7%) meningitis episodes and pulmonary sequelae in 17 of 254 (6.7%)
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31 children with pneumonia.
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33 34 35 36 Serotypes, molecular study and antibiotic susceptibility 37

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39 Diagnosis was established in 123 (38.6%) episodes by culture, and in 196 (61.4%) by real-time
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41 PCR.
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44 The serotyping study was done in 300 (94%) of the total IPD episodes. In 120 (40%) the
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46 serotyping study was carried out with strain isolates from culture, whereas 180 (60%), were done
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48 with direct samples by multiplex, real-time PCR. Overall, 23 different serotypes were identified.
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51 Nevertheless, there was a large number (76, 25.3%) of samples with *ply* and *wzg* gene positive
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53 but no specific gene of 24 serotypes tested, so we considered these as 'other serotypes' . The
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55 most frequent among identified serotypes were serotype 1 (62; 20.7%), 19A (47; 15.7%) and 3
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57 (37; 12.3%). Of the 300 episodes, 27 (9%) were caused by PCV7 serotypes, and 273 (91%) were
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4 caused by non-PCV7 serotypes. One-hundred nineteen (39.7%) were caused by PCV10
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6 serotypes and 209 (69.7%) by PCV13 serotypes. Of 27 patients who had IPD attributed to PCV7
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8 serotypes, 5 were well vaccinated. The characteristics of vaccinated children with IPD caused by
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10 PCV7 serotypes are shown in Table 2.
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14 There were significant differences in the clinical presentation among the most prevalent
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16 serotypes detected in the study: serotype 1 and serotype 3 were significantly associated with
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18 pneumonia whereas the clinical presentation of episodes caused by serotype 19A was more
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20 diverse (Table 3). Among episodes resulting in death, 3 were caused by non-PCV7 serotypes
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22 (serotypes 7F, 27 and 6A) and 1 by vaccine serotype 23F in an unvaccinated child.
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26 PCV7 serotypes were significantly present in younger children (mean age 21.2 months vs 30.4
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28 months in IPD caused by non-PCV7 serotypes; $p=0.004$). In addition, IPD by PCV7 serotypes
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30 was associated with a higher rate of sequelae than non-PCV7 serotypes (25.9% vs 9.9%;
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32 $p=0.02$). In contrast, non-PCV7 serotypes were associated mainly with pneumonia: 81.3% of
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34 total episodes caused by non-PCV7 versus 48.1% of episodes caused by PCV7 serotypes; $p<.001$
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36 (Table 3).
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44 Molecular analysis by multilocus sequence typing was performed for 108 of 123 (87.8%) strains
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46 isolated by culture. Overall, when comparing our data with isolates listed in the MLST database,
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48 there were 46 different STs, including 8 new ST profiles (ST3437, serotype 23F; ST3436,
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50 serotype 38; ST4827, ST2948 and ST 4826, serotype 19F; ST4676, serotype 27; ST5195,
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52 serotype 19A; ST4834 serotype 7F). Fifty percent of these new ST expressed PCV7 serotypes.
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54 eBURST analysis using the stringent 6/7 identical loci definition grouped the 46 ST into 6 clonal
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56 complexes and 34 singletons (see figure, Supplemental Digital Content 2, which shows clonal
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4 distribution of 108 invasive isolates from pediatric patients obtained by use of the output of
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6 eBURST, version 3. Each circle represents a single MLST, with the area proportional to the
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8 number of isolates of that sequence type. Black lines represent single-locus variants).

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11 Six clonal complexes or ST accounted for 55.9% of total collection: ST 306 (n=22 isolates
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13 serotype 1), ST320 (n=9 isolates serotype 19A), CC289 (n=8 isolates serotype 5), ST191 (n=8
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15 isolates serotype 7F), ST1201 (n=7 isolates serotype 19A) and CC276 (n=5 isolates serotype
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17 19A and 1 serotype 24B).

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20 Comparative analysis of our serotype and ST results with those published in the MLST database
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22 showed that 5 of our STs expressed serotypes different than those previously reported (capsular
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24 switching): ST101 (serotype 15C), ST109 (serotype 23F), ST230 (serotype 24B), ST433
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26 (serotype 28) and ST2372 (serotype 23F).

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29 Antibiotic susceptibility was available for 120 of 123 (97.5%) strains.

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32 None of the 120 strains was fully resistant ($MIC \geq 8 \mu\text{g/ml}$) and 3 (2.5%) were intermediately
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34 penicillin-resistant according to non-meningeal breakpoints. Two of these strains belonged to
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36 ST320 expressing serotype 19A and one belonged to ST2948 expressing serotype 19F. Forty-
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38 one isolates (34, 4 %) had a $MIC \geq 0.12 \mu\text{g/ml}$, and 18 of these isolates (43.9%) were serotype
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40 19A. Regarding cefotaxime, only 2 isolates (1.7%) showed a $MIC \geq 4$ and both belonged to
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42 ST320 expressing serotype 19A. Regarding meningeal breakpoints, 21 isolates (17.5%) showed
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44 a diminished susceptibility to cefotaxime and serotype 19A account 66.7% of these episodes.

45 46 47 48 49 50 51 52 53 54 55 **DISCUSSION**

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4 This is a prospective study which updates the information about IPD in children in a
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6 geographical area without systematic vaccination. The inclusion of episodes with negative
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8 culture and only detected by real-time PCR has allowed us to gain greater insight into the burden
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10 of the disease and the main serotypes causing IPD in Barcelona. We think that molecular
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12 methods can be used directly not only on samples as CSF, pleural effusion or arthritis fluid, but
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14 also in plasma improving the ability to diagnose IPD. The usefulness of real-time PCR in plasma
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16 has been discussed because some authors found a high rate of detection of pneumococcal DNA
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18 in healthy controls associated with nasopharyngeal carriage²⁰. However we consider plasma PCR
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20 positive patients with non-complicated pneumonia and negative culture as patients with
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22 pneumococcus pneumonia and not false positive from pneumococcus colonization. All these
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24 patients are clinically compatible with pneumococcus pneumonia (all of them have high fever,
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26 cough, crackling or hypophonesis in the auscultation and radiological image of alveolar
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28 condensation). Moreover, our patients with non-complicated pneumonia have no statically
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30 differences in clinical variables in the groups “positive blood culture” and “only plasma real-time
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32 PCR positive”. In the same way, other authors have described previously the validity of plasma
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34 PCR in diagnosing IPD^{8,11}. A low bacterial load could explain in these patients the negativity of
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36 the culture. However more studies in this area will be required to confirm the validity of plasma
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38 PCR in determining deep-seated pneumococcal infection”.

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48 The incidence of IPD continues to increase in our geographic area. The incidence is higher than
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50 previously reported, presumably as a result of low sensitivity of the bacterial culture which was
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52 the only microbiologic criterion for definition of IPD in previous studies⁵. The hospitals included
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54 in the study are the most important ones in pediatrics in Catalonia. Non-PCV7 serotypes cause
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56 most IPD episodes while PCV7 serotypes cause only a minority of cases. The change in
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4 pneumococcal serotypes causing IPD is associated with a change in clinical presentation and in
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6 some epidemiologic characteristics.
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9 Concerning clinical manifestations, the proportion of pneumococcal bacteremia and meningitis
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11 are relatively stable but a significant increase in pneumonia was observed. These changes were
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13 observed by others in the USA²¹. The increase in pneumonia has also been observed in other
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15 regions of Spain²² as well as in other countries such as Denmark and USA^{23,24}. A significant
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17 proportion of these pneumonias are complicated by empyema and some of the children (5.5%)
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19 developed pulmonary sequelae and required intensive care management. This high proportion of
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21 empyema and parapneumonic pleural effusion could also be explained because the study was
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23 performed in two tertiary-care pediatric hospitals. Of concern is the increase in complicated
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25 pneumonias caused by non-PCV7 serotypes. It is important that vaccines against *S. pneumoniae*
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27 include serotypes associated with pneumonia, such as serotypes 1 and 3.
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33 The mean age of children with IPD is higher than previously reported in the pre-vaccine era²⁵
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35 and the majority of children with IPD are healthy without any recognized risk factors. This high
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37 proportion of healthy children is different from what was recently reported by Kaplan²¹. These
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39 differences are caused in part by the introduction of a virulent clone of serotype 1, with proven
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41 capacity to produce outbreaks, just before the implementation of PCV7 in our country⁵. Serotype
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43 1, which is associated with pneumonia in older healthy children²², was the main serotype
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45 detected in our series, while in Kaplan's study serotype 1 was infrequent.
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52 It is remarkable how many serotypes are involved, which demonstrates the great diversity of
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54 pneumococcus. The detection of only 24 serotypes by Multiplex Real-Time PCR methodology is
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56 a limitation of the study and raises concern about the high diversity of pneumococcus and the
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4 need for accurate surveillance of this disease in the coming years, including new molecular
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6 methods to detect a wider range of serotypes.
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11 Despite the low vaccination coverage (approximately 50%) a low rate of infections due to
12 vaccine serotypes were found. These data confirm, as have many other studies, that PCV7 is
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14 highly effective against IPD caused by vaccine serotypes, because this vaccine also prevents IPD
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16 in adult contacts and non-vaccinated siblings through indirect effect (herd immunity) on
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18 pneumococcal transmission²⁶.
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26 Regarding the 5 cases of IPD in vaccinated children, it is important to note that two of them had
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28 a previous disease and had not completed the vaccination schedule, which might explain this
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30 failure.
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36 As to the clonal study, it also showed great genetic diversity in the strains that produce IPD in
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38 our pediatric population, including the appearance of new ST and capsular switches.
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41 As reported before⁵, ST306 is the most important clonal complex in our population. This clonal
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43 complex relates to an increase of empyema²². Recently our group showed that this increase of
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45 empyema associated with ST306 may be due to the presence of PsrP²⁷, a pneumococcal
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47 virulence factor not present in all clonal complexes of *S. pneumoniae*. PsrP is an adhesine related
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49 to the invasion of pulmonary cells by pneumococcus.
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53 As for the study of antibiotic susceptibility, we previously reported a decrease in the global rate
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55 of penicillin resistance if we compare the present rate with that of the prevaccine period⁵.
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58 Nevertheless, the presence of strains of serotype 19A, especially those with ST 320 having
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4 multiple antibiotic resistances, is grounds for concern. PCV13 includes serotype 19A, which we
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6 hope will be controlled once the new vaccine is implemented.
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9 One of the limitations of the study is that not all episodes of IPD are detected, since blood
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11 cultures and/or PCR *S. pneumoniae* are not performed in all children with fever or suspected of
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13 pneumonia. Therefore, some bacteremias and pneumonias have presumably not been diagnosed.
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15 However, our guidelines for evaluating children with fever did not substantially change during
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17 the study period.
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24 In conclusion, IPD continues to increase in Barcelona and the rate is much higher than
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26 previously reported due to low sensitivity of bacterial culture. Non-PCV7 serotypes were
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28 responsible for 91% of episodes and pneumonia was the main clinical presentation.
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4 **Supplemental Digital Content Legend:**
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7 **SDC 1 (table).** Rate of invasive pneumococcal disease (IPD) in children according to age group
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9 during 2007-2009.
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11 **SDC 2 (figure).** Clonal distribution of 108 invasive isolates from paediatric patients obtained by
12 use of the output of eBURST, version 3. Each circle represents a single MLST, with the area
13
14 proportional to the number of isolates of that sequence type. Black lines represent single-locus
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19 variants.
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Table 1. Distribution of positive samples detected by culture and by real-time PCR according to main clinical presentations.

| | Positive Blood Culture | Positive Plasma real-time PCR | Positive pleural effusion culture | Positive pleural effusion real-time PCR |
|---|-------------------------------|--------------------------------------|--|--|
| Non-complicated pneumonia (n=51) | 18 | 39 | | |
| Parapneumonic pleural efusión (n=32) | 5 | 18 | 0 | 12 |
| Empyema (n=171) | 18 | 75 | 32 | 151 |
| | | | CSF culture | CSF real-time PCR |
| Meningitis (n=29) | 15 | 18 | 20 | 19 |
| Bacteremia (n=25) | 25 | 2 | | |

Table 2. Characteristics of vaccinated children with Invasive Pneumococcal Disease caused by PCV7 serotypes.

| Sex | Age (months) | Clinical presentation | Previous disease | Serotype |
|------------|---------------------|------------------------------|------------------------------|-----------------|
| Female | 5 | Bacteremia | Methylmalonic acidosis | 19F |
| Female | 13 | Bacteremia | Retinoblastoma (neutropenia) | 19F |
| Female | 45 | Pneumonia | No | 19F |
| Male | 29 | Pneumonia | No | 14 |
| Female | 50 | Pneumonia | No | 14 |

Table 3. Epidemiological data and clinical characteristic of 300 episodes of IPD caused by PCV7 serotypes, non-PCV7 serotypes and the five main serotypes detected in the study.

| Serotype | N° of Episodes | Age (mean (SD)) | Sex (males) N (%) | Clinical presentation* | | | | PICU Admission N (%) | Outcome | |
|--------------|----------------------|--------------------|----------------------|------------------------|---------------------|---------------------|-----------------|----------------------------|-----------|----------|
| | | | | Pneumonia N (%) | Bacteremia N (%) | Meningitis N (%) | Others N (%) | | Sequelae | Death |
| PCV7 | 27 | 21.2 (13.68) | 16 (59.3%) | 13 (48.1%) | 7 (25.9%) | 6 (22.2%) | 1 (3.7%) | 6 (22.2%) | 7 (25.9%) | 1 (3.7%) |
| Non-PCV7 | 273 | 30.4 (15.84) | 145 (53.1%) | 222 (81.3%) | 18 (6.6%) | 23 (8.4%) | 10 (3.6%) | 38 (13.9%) | 27 (9.9%) | 3 (1.1%) |
| Serotype 1 | 62 | 41 (10.48) | 34 (54.8%) | 62 (100%) | 0 | 0 | 0 | 2 (3.2%) | 3 (4.8%) | 0 |
| Serotype 19A | 47 | 19.21 (10.54) | 28 (59.6%) | 32 (68.1%) | 6 (12.8%) | 6 (12.8%) | 3 (6.3%) | 9 (19.1%) | 4 (8.5%) | 3 (2.4%) |
| Serotype 3 | 37 | 29.03 (14.11) | 16 (43.2%) | 36 (97.3%) | 0 (0%) | 1 (2.7%) | 0 | 6 (16.2%) | 5 (13.5%) | 0 |

| | | | | | | | | | | |
|--------------|----|--------------|------------|------------|----------|---------|----------|-----------|---------|----------|
| Serotype 7FA | 21 | 24.71 (16.2) | 16 (76.2%) | 12 (57.1%) | 4 (19%) | 4 (19%) | 1 (4.9%) | 5 (23.8%) | 4 (19%) | 1 (4.8%) |
| Serotype 14 | 12 | 24.71 (13.3) | 9 (75%) | 11 (91.7%) | 1 (8.3%) | 0 | 0 | 0 | 3 (25%) | 0 |

* Other clinical presentations were arthritis or osteomyelitis, sepsis and cellulitis.

Statistically significant differences (Chi-square test for categorical variables and Student t-test for continuous variables) were found for:

Mean age: PCV7 vs non-PCV7 serotypes ($P=0.004$); serotype 1 vs other serotypes ($P<.001$); serotype 19A vs other serotypes ($P<.001$)

Gender: serotype 7F/A vs other serotypes ($P=0.03$)

Clinical presentation: serotype 1 vs other serotypes ($P=0.001$)

PICU admission: serotype 1 vs other serotypes ($P=0.002$)

Outcomes: PCV7 vs non PCV7 serotypes ($P=0.02$)

Supplemental Digital Content 1. Rate of invasive pneumococcal disease (IPD) in children according to age group during 2007-2009.

| | 2007 | | 2008 | | 2009 | | (2007 vs 2009) | |
|----------------------------------|----------|--------------------|----------|--------------------|----------|--------------------|--------------------|-------|
| | Episodes | Rates ^a | Episodes | Rates ^a | Episodes | Rates ^a | % Change (95% CI) | P |
| CHILDREN < 24 months | | | | | | | | |
| All IPD | 41 | 100.5 | 35 | 84.1 | 52 | 120 | 21% (-20 to 82%) | 0.3 |
| Pneumonia | 14 | 34.3 | 21 | 50.5 | 35 | 80.8 | 138% (28 to 343%) | 0.004 |
| <i>Non-complicated pneumonia</i> | 5 | 12.3 | 5 | 12 | 15 | 34.6 | 186% (4 to 687%) | 0.003 |
| <i>Complicated pneumonia</i> | 9 | 22.1 | 16 | 38.4 | 20 | 46.1 | 112% (-4 to 365%) | 0.05 |
| Meningitis | 11 | 27.0 | 7 | 16.8 | 7 | 16.2 | -39% (-76 to 57%) | 0.2 |
| Occult bacteremia/sepsis | 12 | 29.4 | 5 | 12 | 8 | 18.5 | -36% (-74 to 56%) | 0.3 |
| Others ^c | 4 | 9.8 | 2 | 4.8 | 2 | 4.6 | -52% (-91 to 160%) | 0.3 |

| CHILDREN 24-59 months | | | | | | | (2007 vs 2009) | |
|----------------------------------|------|------|------|----------|----------|----------|-------------------|-------|
| | 2007 | 2008 | 2009 | % Change | (95% CI) | <i>P</i> | | |
| All IPD | 47 | 62.9 | 63 | 81.1 | 81 | 104.2 | 64% (15 to 135%) | 0.006 |
| Pneumonia | 46 | 61.6 | 59 | 76 | 79 | 101.6 | 64% (14 to 136%) | 0.007 |
| <i>Non-complicated pneumonia</i> | 5 | 6.7 | 5 | 6.4 | 16 | 20.6 | 205% (12 to 733%) | 0.02 |
| <i>Complicated pneumonia</i> | 41 | 54.9 | 54 | 69.5 | 63 | 81 | 47% (-1 to 117%) | 0.05 |
| Meningitis | 1 | 1.3 | 3 | 3.9 | 0 | - | - | |
| Occult bacteremia/sepsis | 0 | - | 1 | 1.3 | 2 | 2.6 | - | |
| Others ^c | 0 | - | 0 | 0 | 0 | - | - | |

CHILDREN <60 months

| | | | | | | | | |
|-----------|----|------|----|------|-----|-------|------------------|--------|
| All IPD | 88 | 76.2 | 98 | 82.2 | 133 | 109.9 | 44% (10 to 89%) | 0.007 |
| Pneumonia | 60 | 52.0 | 98 | 67.1 | 114 | 94.2 | 81% (33 to 148%) | 0.0001 |

^a Episodes per 100,000 children living in the geographical area of Hospital Sant Joan de Deu according to data of Catalanian Institute of Statistics (www.idescat.net).

^b Pneumonia group include all pneumonia episodes with or without empyema. The subgroup of patients with complicated vs non-complicated pneumoniae is shown below.

^c Others: arthritis, cellulitis

Statistical Methods: we calculated 95% CI and P values with Epi Info version 6.0 (CDC).

Supplemental Digital Content 2. Clonal distribution of 108 invasive isolates from paediatric patients obtained by use of the output of eBURST, version 3. Each circle represents a single MLST, with the area proportional to the number of isolates of that sequence type. Black lines represent single-locus variants.

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